

Annex 3

Sanction Guidelines

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Danish Agriculture & Food Council
Pig Research Centre

Annex 3: Sanction Guidelines

The Sanction Guidelines are specifically intended for certification bodies to assist in sanctioning that involves repeated occurrences (2nd repetition). The guidelines are not part of the accreditation for the DANISH Product Standard but are solely intended to aid in the evaluation of audits. The final decision on the outcome of the certification will always rest with the certification body.

The table below indicates how the compliance overview should be interpreted.

Requirement	Animal Group	Differentiation	1st Sanction	1nd Repetition	2nd Repetition
Brief description of the requirement incl. number. Detailed explanation of the requirement can be found in the overview of relevant criteria.	Indicates whether the requirement applies to one or multiple animal groups and which ones.	There may be threshold values that differentiate the sanction in terms of the requirement, e.g., regarding the number of affected animals and/or pens. If the field is empty, it means there is no differentiation in the sanction.	The sanction is applied during the first regular audit in relation to certification under the applicable standard. If a “comment” appears in the Comment field, it means that non-compliance will not result in a sanction on the first occasion, but it should be noted as a comment. Comments will generally be scaled up at the next audit if the non-compliance continues.	The sanction is applied due to repetition of the specific criterion in relation to the previous audit. If deemed necessary, repeated non-compliances can be scaled up.	The sanction is applied due to the 2nd repetition of the specific criterion in relation to the previous audit.

Main topic: (A) Administration

Category: (A.1) Animal Welfare Focus

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
A.1.1 Documentation of tail biting	All		1. Administration	2. Administration	3. Administration
A.1.2 Risk assessment of tail biting	All		1. Administration	2. Administration	3. Administration
A.1.3 Action plan for tail biting/tail docking	All		1. Administration	3. Administration	3. Production
A.1.4 Documentation from buyer/traders of for tail-docked pigs	All		1. Administration	2. Administration	3. Administration
A.1.5 Target for piglet survival	Sows (piglets)	Total mortality >21% requires action plan.	1. Administration	3. Administration	3. Production
A.1.6 Target for sow survival	Sows	Sow Mortality >9% requires action plan.	1. Administration	3. Administration	3. Production
A.1.7 Local anesthesia for castration	Sows (piglets)	Below 70% of estimated usage.	1. Administration	3. Administration	3. Production

Main topic: (A) Administration

Category: A.2 Feed

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
A.2.1. Use of meat and bone meal/meat by-products	All		Comment	1. Administration	2. Administration
A.2.2. Procurement of feed from approved suppliers	All		Comment	1. Administration	2. Administration
A.2.3. Package leaflets and/or mixing recipes	All		Comment	1. Administration	2. Administration
A.2.4. Procurement of supplement and/or premixed feed with blood plasma from approved supplier	All		Comment	1. Administration	2. Administration
A.2.5. Procurement of soy from approved supplier	All		Comment	1. Administration	2. Administration
A.2.6. Use of fishmeal in feed for pigs over 40 kg	All		Comment	1. Administration	2. Administration
A.2.7. Procurement of pure form blood plasma from approved manufacturer	All		Comment	1. Administration	2. Administration

Main topic: (A) Administration

Category: A.3 Management

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
A.3.1. Self-audit programme	All		1. Administration	2. Administration	2. Administration
A.3.2. Annual review of fitness for transport	All		1. Administration	2. Administration	2. Administration

Main topic: (A) Administration

Category: A.4 Medication

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
Herds with health advisory agreement					
A.4.1. Instruction sheet	All		1. Administration	2. Administration	2. Administration
A.4.2. Health advisory agreement	All		1. Administration	2. Administration	2. Administration
A.4.3. Medicine handling course	All		1. Administration	2. Administration	2. Administration
A.4.4. Medicine without prescription	All		1. Administration	2. Administration	2. Administration
A.4.5. Treatment log	All		1. Administration	2. Administration	3. Administration
A.4.6. Proper recording in treatment log	All		1. Administration	2. Administration	3. Administration
A.4.7. Pain relief in connection with castration	All		1. Administration	2. Administration	2. Administration
A.4.8. Quarterly/half-year reports	All		1. Administration	2. Administration	2. Administration
Herds with no health advisory agreement					
A.4.9. Dispensing of medicine	All		1. Administration	2. Administration	2. Administration
A.4.10. Annual veterinary visit	All		1. Administration	2. Administration	2. Administration

Main topic: (A) Administration

Category: A.5 Infection Protection

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
A.5.1. Zoonotic infection protection plan	All		1. Administration	2. Administration	2. Administration
A.5.2. Collection of dead animals	All		1. Administration	2. Administration	2. Administration
A.5.3. Hygiene certificate/MRSA certificate	All		1. Administration	2. Administration	2. Administration
A.5.4. Import of hay/straw/chaff/silage	All		3. Administration	3. Administration	3. Administration
A.5.5. Approved bedding materials	All		Comment	1. Administration	1. Administration
A.5.6. Recommendations for dispensing conditions as regards optimal infection protection	All		Comment	1. Administration	1. Administration
A.5.7. Salmonella status	Finishers		Comment	1. Administration	1. Administration

Main topic: (A) Administration

Category: A.6 Traceability

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
A.6.1. Supply chain (previously delivery agreement/ pig circle contract)	All		1. Administration	2. Administration	2. Administration
A.6.2. Pig movements	All		1. Administration	2. Administration	2. Administration
A.6.3. Danish origin	All		Suspension	Suspension	Suspension
A.6.4. DANISH approved suppliers of pigs	All		1. Administration	Suspension	Suspension
A.6.5. Movement of dead animals	All		Comment	2. Administration	2. Administration

Main topic: (A) Administration

Category: A.7 Transport

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
A.7.1. Use of DANISH-approved transporters/exporters	All		1. Administration	2. Administration	2. Administration
A.7.2. QS-approved transporters/traders	All		1. Administration	2. Administration	2. Administration
A.7.3. No animals from collection centres	All		1. Administration	2. Administration	2. Administration
A.7.4. Compliance with quarantine	All		1. Administration	2. Administration	2. Administration
Use of own vehicles					
A.7.5. Use of collection centres	All		1. Administration	2. Administration	2. Administration
A.7.6. Vehicle design			1. Administration	2. Administration	2. Administration
A.7.7. Washing certificates	All		1. Administration	2. Administration	2. Administration
A.7.8. GPS	All		1. Administration	2. Administration	2. Administration
Use of own vehicles over 50 km and 65 km, and more than 8 hours					
A.7.9. Transport document	All		1. Administration	2. Administration	2. Administration
A.7.10. Records of washing and disinfection	All		1. Administration	2. Administration	2. Administration
A.7.11. Valid training certificate	All		1. Administration	2. Administration	2. Administration
A.7.12. Approved for long-distance transport	All		1. Administration	2. Administration	2. Administration
A.7.13. Logbook			1. Administration	2. Administration	2. Administration
A.7.14. Properly equipped vehicle			1. Administration	2. Administration	2. Administration

Main Topic: (P) Production

Category: (P.1) Animal Welfare Focus

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
P.1.1 Handling of sick/injured pigs	All	>0.05% (more than 1 animal)	1. Production	2. Production	3. Production
P.1.2 Animals that should be euthanized	All	≤0.025% (max 1 animal)	Comment	1. Production	1. Production
		>0.025% (more than 1 animal)	1. Production	2. Production	3. Production
P.1.3 Sows in loose housing	Pregnant sows and dry sows for servicing	≤0.5% of pregnant sows and dry sows	Comment	1. Production	1. Production
		>0.5% of pregnant sows and dry sows	1. Production	2. Production	3. Production
P.1.4 Weaning age for piglets	Nursing sows	≤5% of weekly batches	1. Production	2. Production	3. Production
		>5% of weekly batches	Comment	1. Production	1. Production

Main Topic: (P) Production

Category: (P.2) Feed and water

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
P.2.1 Fresh drinking water	All		1. Production	3. Production	3. Production
P.2.2 Daily feeding	All		1. Production	3. Production	3. Production
P.2.3 Ban on antibiotic growth promoters	All		1. Production	3. Production	3. Production
P.2.4 Chemicals separated from feed	All		1. Production	3. Production	3. Production

Main Topic: (P) Production

Category: (P.3) Management

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
P.3.1 Permanent access to rooting and enrichment materials	All (including boars)	≤5% of animal group	Comment	1. Production	1. Production
		>5% of animal group	1. Production	3. Production	3. Production
P.3.2 Requirements for rooting and enrichment materials must be met	All (including boars)	>10% of animal group	Comment	1. Production	1. Production
P.3.3 Tail docking length	All	≤50% of animal group	Comment	1. Production	1. Production
		>50% of animal group	1. Production	3. Production	3. Production
P.3.4 Daily inspection	All		Comment	1. Production	1. Production
P.3.5 Euthanasia equipment	All		Comment	1. Production	1. Production
P.3.6 Permanent access to bedding for pregnant animals	Pregnant sows	≤5%	Comment	1. Production	1. Production
		>5%	1. Production	3. Production	3. Production
P.3.7 Grinding/clipping of teeth	Piglets (sows)		Comment	1. Production	1. Production
P.3.8 Use of delivery vans	All		Comment	1. Production	1. Production
Outdoor herds					
P.3.9 Resting area with straw in outdoor huts	Sows	≤5%	Comment	1. Production	1. Production
		>5%	1. Production	3. Production	3. Production
P.3.10 Penning in huts	Sows	>10%	Comment	1. Production	1. Production

Main Topic: (P) Production

Category: (P.4) Medication

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
P.4.1 Storage of medication	All		Comment	1. Production	1. Production
P.4.2 Disposal of needles	All		Comment	1. Production	1. Production
P.4.3 No use of Marbocyl and Baytril	All		Comment	1. Production	1. Production
P.4.4 No use of Cephalosporins	All		Comment	1. Production	1. Production
P.4.5 Medication without a valid prescription period	All		Comment	1. Production	1. Production
P.4.6 Castration syringes	Sows		Comment	1. Production	1. Production

Main Topic: (P) Production

Category: (P.5) Infection Protection

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
P.5.1 Storage of dead animals	All		Comment	1. Production	1. Production
P.5.2 Entry through anteroom	All		Comment	1. Production	1. Production
P.5.3 Cleaning of housing units, pens, and equipment	All		Comment	1. Production	1. Production
P.5.4 Rodent prevention	All		Comment	1. Production	1. Production
P.5.5 Fencing requirements and distance for outdoor herds	All		Comment	1. Production	1. Production

Main Topic: (P) Production

Category: (P.6) Traceability

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
P.6.1 Withdrawal period	All		Comment	1. Production	1. Production
P.6.2 Breeding animals must have approved ear tags	All		Comment	1. Production	1. Production

Main Topic: (P) Production

Category: (P.7) Housing units

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
P.7.1 Soiling of lying areas	All	>5% of pens for a single animal group	Comment	1. Production	1. Production
P.7.2 Legal requirements for pen area	All	≤115% of animal group	Comment	1. Production	1. Production
		>115% of animal group	1. Production	3. Production	3. Production
P.7.3 Legal requirements for floors		≤10% of pens for a single animal group	Comment	1. Production	1. Production
		>10% of pens for a single animal group	1. Production	3. Production	3. Production
P.7.4 Lighting	All		Comment	1. Production	1. Production
P.7.5 Alarm	All		Comment	1. Production	1. Production
P.7.6 Backup system for ventilation	All	>5% of pens	Comment	1. Production	1. Production
P.7.7 Sprinkler system	All		Comment	1. Production	1. Production
P.7.8 Boar pens	Boars		Comment	1. Production	1. Production
P.7.9 Fixtures	All	≤5% of pens for animal group	Comment	1. Production	1. Production
		>5% of pens for animal group	1. Production	3. Production	3. Production

Category: (P.7) Housing units – continued					
P.7.10 Hospital pens	All	Insufficient hospital pens	1. Production	3. Production	3. Production
		Incorrect design of hospital pen	Comment	1. Production	1. Production
P.7.11 Flooring for piglets		>20% of farrowing pens	Comment	1. Production	1. Production
P.7.12 Confined sows	Sows	≤5% of sows in housing section	Comment	1. Production	1. Production
		>5% of sows in housing section	1. Production	3. Production	3. Production
Outdoor Herds					
P.7.13 Requirements for outdoor huts			Comment	1. Production	1. Production
P.7.14 Access to wallowing area			Comment	1. Production	1. Production

Main Topic: (P) Production

Category: (P.8) Miscellaneous

Requirement	Animal Group	Differentiation	1. Sanction	1. Repetition	2. Repetition
P.8.1 Cat and dog food in the herd area	All		Comment	1. Production	1. Production
P.8.2 No feeding with food waste	All		Comment	1. Production	1. Production
P.8.3 Storage of feed	All		Comment	1. Production	1. Production
P.8.4 Use of electric prod	All		Comment	1. Production	1. Production
P.8.5 Traceable needles	All		Comment	1. Production	1. Production
P.8.6 Requirements for windows/doors in indoor herds	All		Comment	1. Production	1. Production
P.8.7 Equipment checks	All		Comment	1. Production	1. Production
P.8.8 Use of marking colours	All		Comment	1. Production	1. Production
P.8.9 Tattoo hammer	All		Comment	1. Production	1. Production